

National Core Indicators- Aging and Disability (NCI-AD)

October 17, 2015

What is NCI-AD?

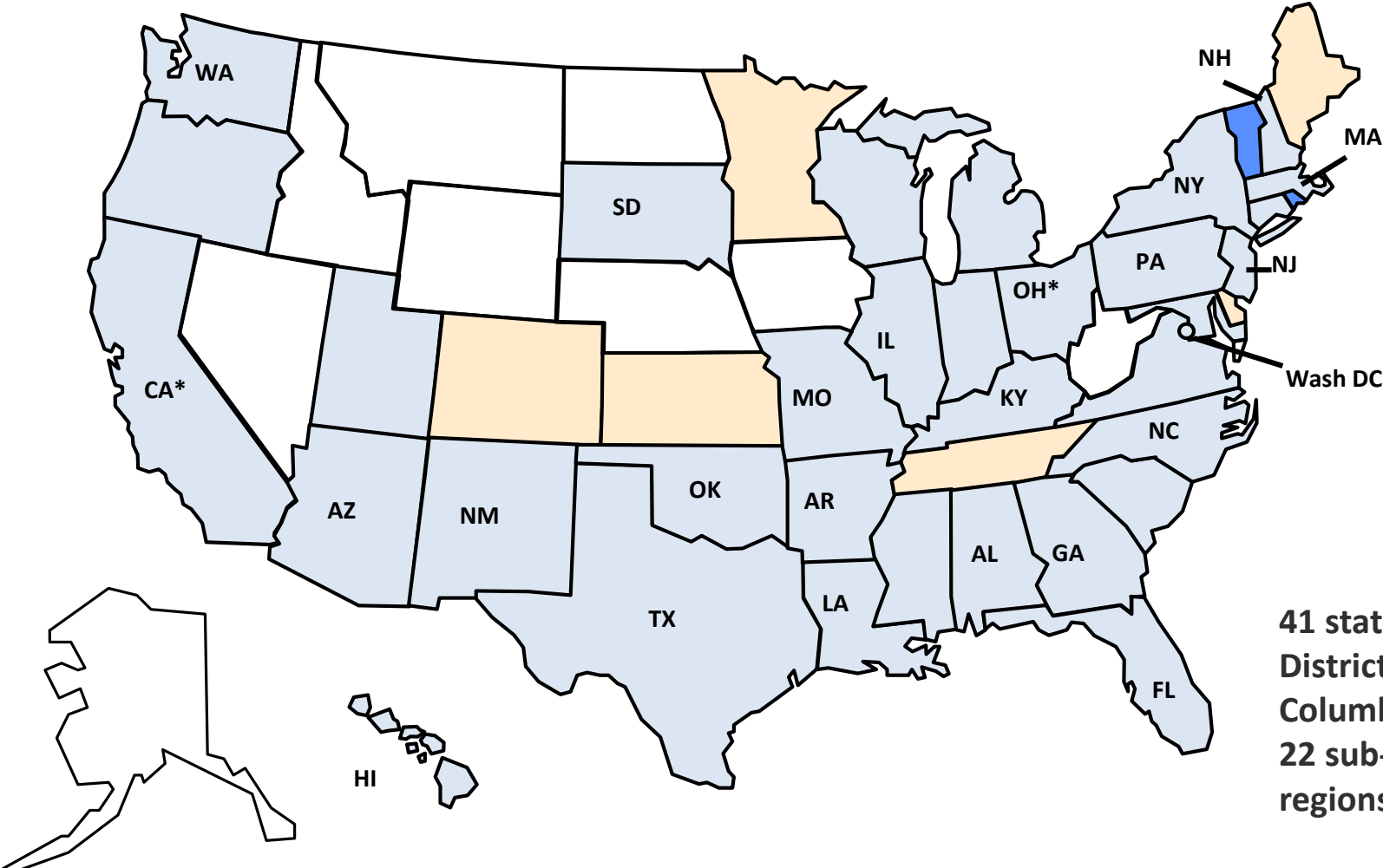
- Collaboration between the National Association of States United for Aging and Disabilities (NASUAD) and Human Services Research Institute (HSRI)
 - ▣ Supported by participating states and a development grant from the Administration for Community Living
 - ▣ Grew out of the National Core Indicators (NCI) project focused on adults with Intellectual/Developmental Disabilities

NCI (ID/DD)

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- Multi-state collaboration of state DD agencies
- Measures performance of public systems for people with intellectual and developmental disabilities
- Assesses performance in several areas, including: employment, community inclusion, choice, rights, and health and safety
- Launched in 1997 in 13 participating states
- Developed with input from advocates, self-advocates, policy-makers and researchers
- Supported by participating states
- NASDDDS – HSRI Collaboration

NCI State Participation (14-15)



41 states, the District of Columbia and 22 sub-state regions

- **Adult Consumer Survey**
 - ✓ In-person conversation with a sample of adults receiving services to gather information about their experiences
 - ✓ Keyed to important person-centered outcomes that measure system-level indicators related to: employment, choice, relationships, case management, inclusion, health, etc.
- **Adult Family, Child Family, and Family/Guardian Surveys** Mail surveys – separate sample from Adult Consumer Survey
- **Other NCI state level data: Staff Stability**

NCI (ID/DD) Design

- One overarching framework:
 - ▣ Training
 - ▣ Sampling
 - ▣ Technical assistance
 - ▣ Online data entry system (ODESA)
 - ▣ Analysis and reporting
 - ▣ Base surveys – but states can add own questions

- Valid and reliable:
 - ▣ Ongoing face and content validity evaluations
 - ▣ Revisions
 - ▣ Ongoing inter-rater reliability evaluations
 - ▣ Shadowing
 - ▣ Internal consistency

What is NCI-AD?



- Quality of life survey for older adults and adults with physical disabilities
- Assess outcomes of state LTSS systems
 - Skilled nursing facilities
 - Medicaid waivers
 - Medicaid state plans
 - MLTSS populations
 - State-funded programs, and
 - Older Americans Act programs
- Gathers information directly from consumers through face-to-face interviews
- State-developed initiative
- Launched nationally June 1, 2015

Consumer Outcomes:

- Community Participation
- Choice and Decision-making
- Relationships
- Satisfaction
- Service and Care Coordination
- Access
- Self-Direction of Care
- Work/Employment
- Rights and Respect
- Health Care
- Medications
- Safety and Wellness
- Everyday Living and Affordability
- Planning for the Future
- Control

NCI-AD Survey Tool

- **Pre-survey Form**
 - ▣ Used to setup interviews, for use by the interviewers only
- **Background Information (21 questions)**
 - ▣ Demographics and personal characteristics: gathers data about the consumer from agency records and/or the individual
- **Consumer Survey (86 (51 proxy) questions + 2 optional)**
 - ▣ Includes subjective satisfaction-related questions that can only be answered by the consumer, and objective questions that can be answered by the consumer or, if needed, their proxy
- **Interviewer Feedback Sheet**
 - ▣ Asks interviewer to evaluate the survey experience and flag concerns

Development

- Began in 2013 and took over a year and a half
- Included:
 - Steering committee feedback
 - Expert panel feedback
 - Stakeholder feedback
 - Focus groups with LTSS consumers
 - Large-scale pilot in three states: GA, MN, OH
 - Total of 1600 interviews completed
 - Small-scale pilot in GA
- Underwent 13 official revisions
- Finalized in March, 2015

- 3 pilot states: Minnesota, Georgia, and Ohio
- Sample could include recipients of Medicaid state plan and waiver services (aging or non-I/DD disability), Older Americans Act services, and state-funded only services
- Each state to collect at least 400 interviews
- Oct 2013 – Jan 2014 – TA Calls with each state individually
- Jan 2014 – First round of interviewer training in Georgia
 - ▣ Feb 2014 – Minnesota & Apr 2014 – Ohio
- Gave until the end of September 2014 to complete pilot

Pilot Results

Sample Overview

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State 1: Total N = 806

- Waiver: Under 65, classified as disability in waiver: N = 110 (14%)
65 and older, classified as older adults in waiver: N = 245 (30%)
- OAA and some state services: N = 394 (49%)

State 2: Total N = 357

- Disability in waiver: N = 118 (33%)
- Older adults in waiver: N = 170 (48%)
- OAA: N = 67 (19%)

State 3: Total N = 409

- Disability in waiver: N = 94 (23%)
- Older adults in waiver: N = 272 (66%)
- OAA: N = 37 (9%)

Background information

	Usual living arrangement					
	Own/family home or apt	Adult family home	Assisted living	Other senior housing/retirement community	Nursing facility	Other
State 1	40%	3%	48%	4%	2%	4%
State 2	81%	8%	0%	0%	0%	10%
State 3	98%	0%	2%	0%	0%	0%

Community Participation

- Person gets to do things outside of home when they want to:

	No	Sometimes	Yes	N/A - Doesn't want to
State 1	17%	15%	66%	2%
State 2	11%	9%	76%	4%
State 3	17%	15%	58%	11%

- Why not:
 - ▣ Health/physical limitations
 - ▣ Transportation
 - ▣ Accessibility
 - ▣ Cost

Choice and Decision Making

- Person can eat meals when they want to

	No	Sometimes	Yes
State 1	23%	3%	75%
State 2	9%	2%	88%
State 3	4%	4%	93%

- Person can get up and go to bed when they want to

	No	Sometimes	Yes
State 1	5%	2%	93%
State 2	2%	5%	93%
State 3	1%	2%	97%

Relationships

■ Can see or talk to family as often as they want to

	No	Sometimes/some family	Yes
State 1	1%	3%	95%
State 2	10%	9%	82%
State 3	8%	9%	83%

■ Can see or talk to friends as often as they want to

	No	Sometimes/some family	Yes
State 1	2%	3%	95%
State 2	7%	6%	87%
State 3	7%	8%	86%

Access Transportation

- The majority of people have transportation to get to medical appointments/pick up their medications.
- However, fewer people said they have transportation to do things outside of home when they want to (not to medical appointments):

	No	Sometimes	Yes
State 1	19%	16%	65%
State 2	13%	14%	73%
State 3	14%	18%	68%

Rights and Respect

- Person feels they have enough privacy in their own home

	No	Yes
State 1	9%	91%
State 2	6%	95%
State 3	5%	95%

- Person feels paid support workers treat them with respect:

	No, never	Usually	Yes, always
State 1	0%	6%	94%
State 2	1%	6%	94%
State 3	2%	8%	90%

Employment

- Most people do not work – 97%
- However, many people would like a job
(6%, 16%, 14%)

- Yes, would like a job, by program:

	OAA	PD Waiver	Aging Waiver
State 1	1%	19%	5%
State 2	7%	26%	12%
State 3	9%	29%	9%



Data powered by HSRI
Project managed by NASUAD

Nationwide Rollout

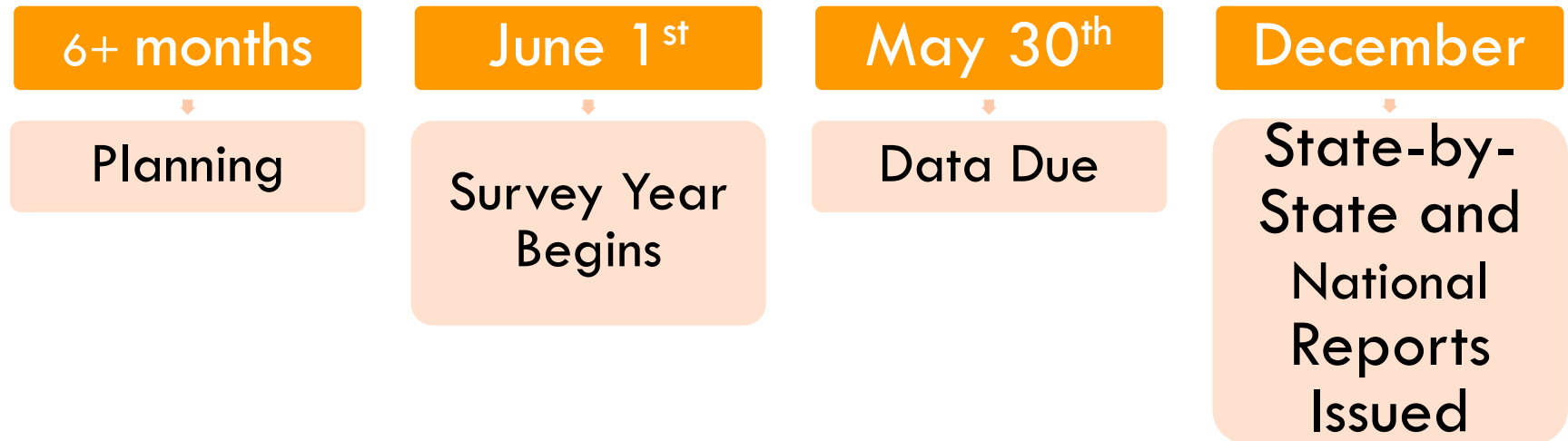
Why are states joining?

- States own their data
- Can add questions to the survey tool
- Receive state specific data reports and technical assistance
- Can provide state, program, and regional comparisons
- Captures the performance of state LTSS systems regardless of funding source (Medicaid/State/Older Americans Act) or setting (home, SNF) – Statewide strategy
- Focuses on how consumers experience services and how services impact their quality of life (goes beyond service satisfaction)
- Provides transparency and accountability
- Provides timely and actionable data over time

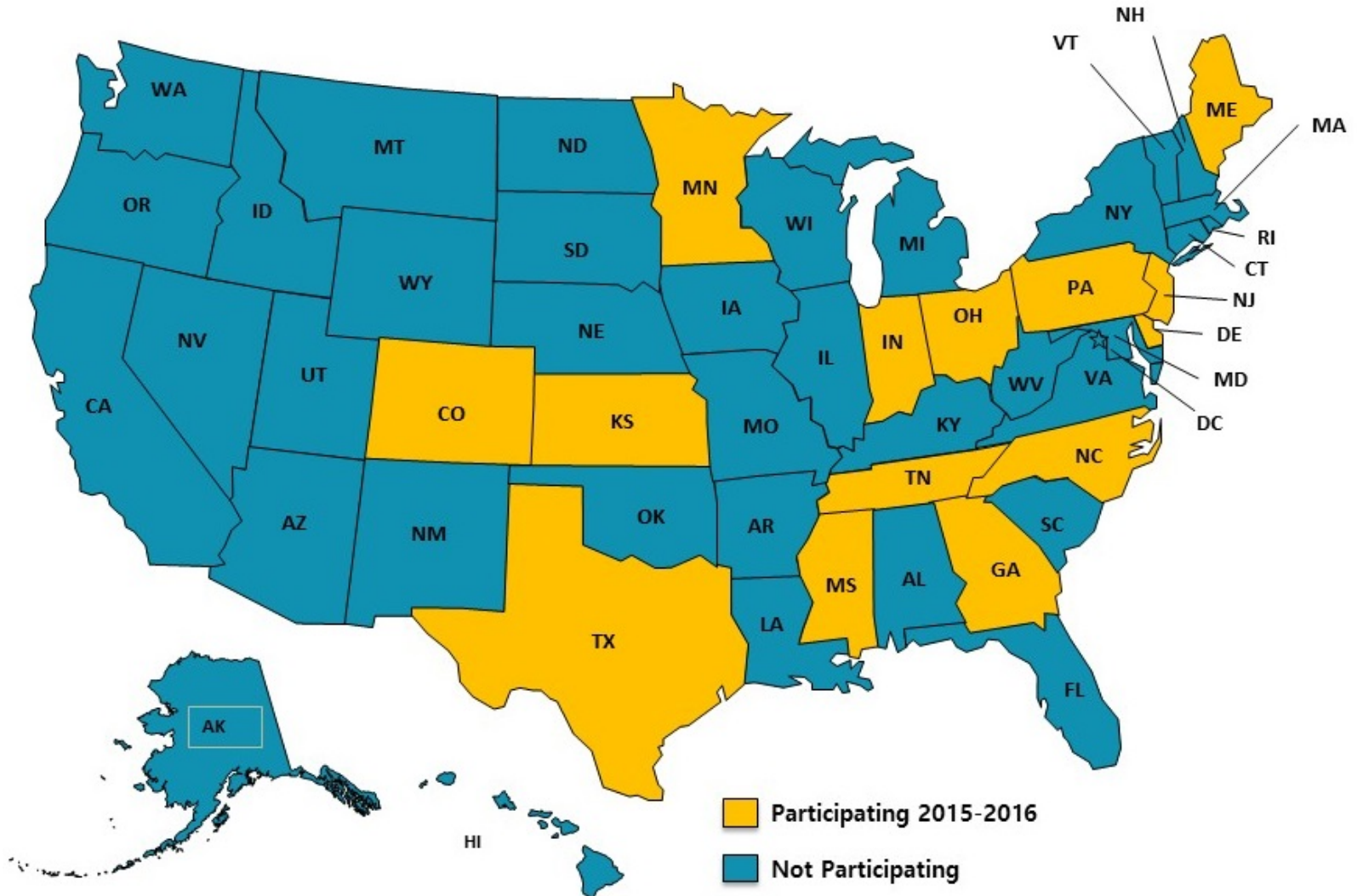
Expectations for States

- Commit to technical assistance year and 1 year of surveying
- Develop a project team and contact state agency partners (Medicaid, Aging, and Disability)
- Monthly technical assistance calls
- Determine target populations and sample design
- Contract with vendor or develop team to conduct interviews
- Gather background information from administrative records
- In-person interviewer training
- Send data to HSRI through ODESA
- HSRI provides state and national report
- Data is published on www.nciad.org

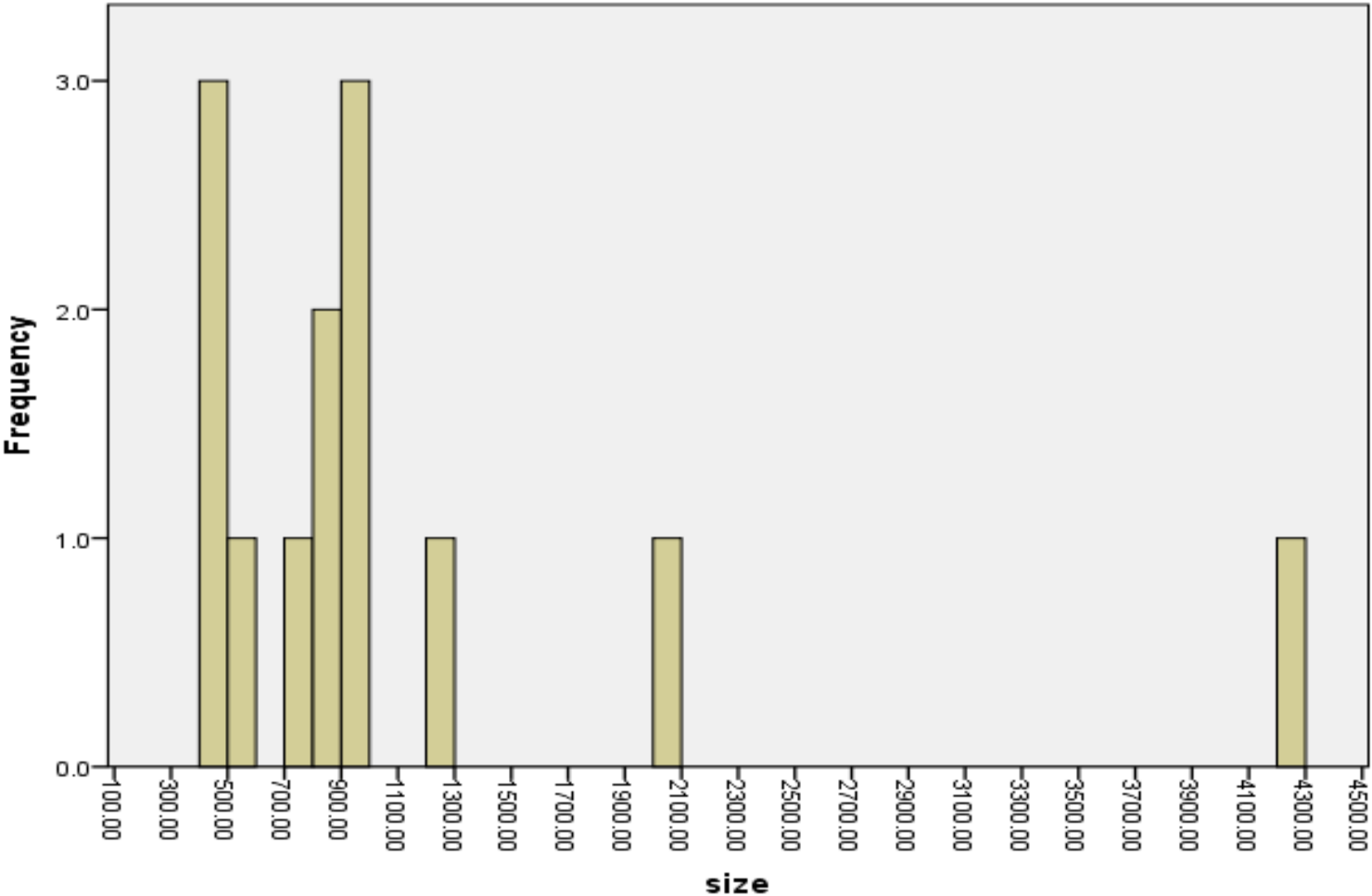
Timeline



State Participation 2015-2016



State Samples - Sizes



State Samples – Makeup

	Waiver	Waiver – MCO	MFP	OAA	State plan	PACE	SNF
State A	X			X			
State B	X			X			
State C	X			X			
State D	X			X	X		
State E	X			X	X	X	
State F	X			X	X		
State G	X	X		X	X		
State H	X						
State I	X		X	X			X
State J		X		X		X	X
State K	X			X			
State L	X			X			
State M		X					
State N		X			X	X	

Candidate Risk-Adjusters

- Age
- Rurality
- Diagnoses
- Hearing/vision impairment
- Mobility
- Where person lives (?)
- How much help is needed (ADLs/IADLs)
- Health
- Length of services

Validity and Reliability

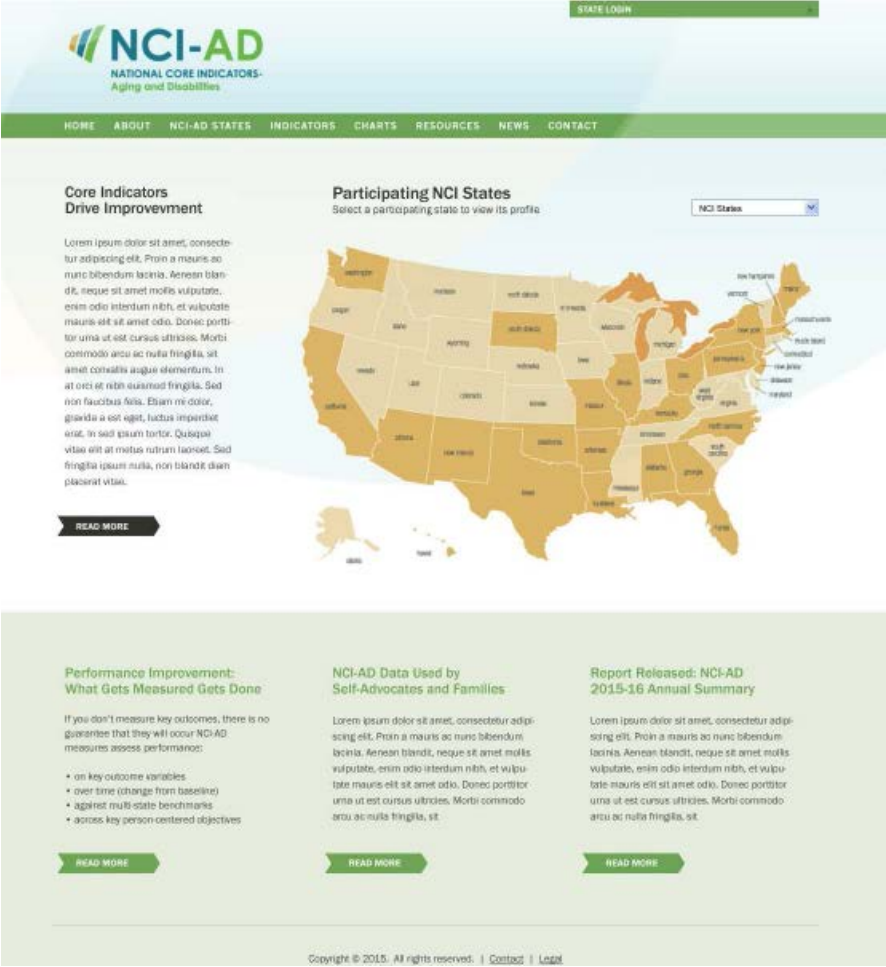
Validity

- Face validity
- Content validity
- Concurrent validity

Reliability

- Internal consistency
- Inter-rater

NCI-AD Website



www.nci-ad.org

Houses:

- Project overview
- Reports
- Webinars
- Presentations
- Staff contacts
- State-specific project information

Data powered by HSRI

Project managed by NASUAD

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